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Arizona FFY 2005 Annual Performance Report for Special Education



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Arizona FFY 2005 Annual Performance Report for Special Education

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The Arizona Part B Annual Performance Report for Special Education

Federal Fiscal Year 2005

Introduction

The Individuals with Disabilities Education Act (IDEA) of 2004 requires that each State submit an annual performance report that reflects the State's progress toward the goals established in the State Performance Plan submitted to the U.S. Department of Education in December 2005. This document was developed to meet that requirement. The Arizona State Performance Plan is available on the Arizona Department of Education Web site at www.ade.az.gov/ess/programsupport.

Overview of the Annual Performance Report Development

The Annual Performance Report draft was initially developed by the staff at the Arizona Department of Education/Exceptional Student Services (ADE/ESS). As data became available at the close of the 2005-2006 school year, the ADE/ESS staff reported to the Special Education Advisory Panel (SEAP) on each indicator. In addition, the activities outlined in the State Performance Plan were reviewed and revised in consultation with the SEAP. Special Education Monitoring Alerts were distributed to the field via the ADE/ESS listserv as each data element became available and PEA results were posted on the ADE Web site. Data on the performance of the State and all PEAs on all indicators will be disseminated to the public on the Web site by the end of February 2007. A press release on the availability of the information will be provided to major newspapers throughout the State.

Monitoring Priority: FAPE in the LRE

Indicator 1: Graduation Rate

Percent of youth with IEPs graduating from high school with a regular diploma compared to percent of all youth in the State graduating with a regular diploma.

(20 U.S.C. 1416 (a)(3)(A))

Measurement

The 4-year cohort methodology includes all students who entered an Arizona high school at any grade over the previous four school years minus any student who dropped out, transferred out, or deceased during that same time period.

Graduation Statistics		
Baseline FFY 2004 (2004-2005)	60.2% of students with disabilities aged 14-21 exited high school with a regular high school diploma	
(2004-2005)	[N = 4,592 / 7,634]	
Target FFY 2005 (2005–2006)	61% of students with disabilities who exit receive a regular high school diploma	
Results FFY 2005	61% of special education students in the 2002 high school cohort graduated in 2006	
(2005–2006)	[N = 1402 / 2282]	

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage

Note: While the FFY 2005 results match the 2005 target set in the State Performance Plan (SPP), it was calculated in a different manner. FFY 2005 (Class of 2006) was the first year that Arizona could calculate a graduation rate based on a cohort model. Initial SPP targets were set using a surrogate method based on §618 exit codes.

The new method of extracting student status from SAIS allows for a more accurate comparison of the graduation rates of students with and without disabilities. The 4-year cohort approach revised baselines are reported below.

4-Year Graduation Rate of students without disabilities 75.5%

[N = 51,066 / 68,498]

4-Year Graduation Rate for students with disabilities 61.0%

[N = 1402 / 2282]

All improvement activities that were outlined in the State Performance Plan were completed and two that were not scheduled for another year were able to be moved forward. Arizona met the target for the goal in spite of the calculation method being substantially different.

The graduation gap between students with and without disabilities appears to be wider than was reported in the SPP; however, that difference is likely to be a result of the new calculation method rather than any change in the actual gap. Narrowing this gap will continue to be the focus of Arizona's work to improve the graduation rate of students with disabilities. Beginning with the FFY 2006 APR, Arizona will also report a 5-year cohort graduation rate, as a 5-year rate is used to determine the status of schools under the state's accountability model, *Arizona LEARNS*.

Improvement Activities completed through FFY 2005

1. Change of statute to allow students with disabilities (SWD) to graduate without passing AIMS if the IEP team determines it is appropriate to do so.

Status: The statutory change was enacted during the 2005 legislative session. A.R.S. 15-701.01(3) spells out the circumstances under which an IEP team shall determine the AIMS graduation status of a student with an IEP.

Creation and implementation of guidance re: AIMS requirements for SWD.

Status: The 2005-2006 guidance document regarding the administration of the AIMS test was published in August 2005 and is located on the ADE Web site.

3. Continuation of the grade-level instruction and assessment initiative.

Status: ADE/ESS continued its on-going efforts to ensure the provision of grade-level instruction to students with disabilities. Efforts included staff development projects through the State Improvement Grant, Outreach training by ESS specialists, and special initiatives such as the High Achievement for All project.

4. Implementation of an Assistive Technology initiative.

Status: ESS migrated Arizona's AT initiative from a contracted provider to an in-house unit during FFY 2005. The unit is scheduled for 6 full-time AT specialists located throughout the State.

5. Passage of the Arizona Textbook Accessibility statute and development of regulatory requirements.

Status: Statute passed in May 2004. Implementing rules have been submitted to the State Board of Education with consideration scheduled for February 2007.

6. Training and implementation for Improvement Activity # 5.

Status: Fifty statewide trainings have been conducted on the Textbook Accessibility requirements as well as the NIMAS requirements during FFY 2005 and the beginning of FFY 2006.

7. Modification of the statewide calculation of graduation rates for students with/without disabilities via SAIS cohort approach.

Status: This activity was scheduled to be reported in the FFY 2006 APR; however, a 4-year cohort rate could be determined for this APR and the graduation rate reported above used the cohort methodology.

Improvement Activities completed through FFY 2005

8. Revision of the SPP/APR baseline, targets, and activities to reflect revised graduation calculations. Status: Target terminology has been revised to reflect the new methodology for calculating graduation rates. The targets themselves have not been revised.

Revisions to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2005

One revision from the Arizona SPP for this indicator is the method of calculation. As noted in the SPP, Arizona has been unable to disaggregate students with disabilities from all students using its previous process for determining graduation rates for all students. The state recognized this deficiency and moved to establish a new system of extracting graduation information from its Student Accountability Information System (SAIS). Beginning with the graduating class of 2006, SAIS had sufficient longitudinal data to compute a 4-year graduation rate and that methodology was used to collect the data for this APR.

Arizona is also adding another activity (see the State Performance Plan) related to improving the reporting of SWD year-end status through SAIS in order to reduce the double reporting requirements that now exist.

Monitoring Priority: FAPE in the LRE

Indicator 2: Dropout Rate

Percent of youth with IEPs dropping out of high school compared to the percent of all youth in the state dropping out of high school.

(20 U.S.C. 1416 (a)(3)(A))

Measurement

An event rate methodology is used to calculate the dropout rate for all students in Arizona. It is a ratio of dropouts to the total enrollment in a particular year.

Dropout Statistics			
Baseline FFY 2004 (2004-2005)	3.97% of students with disabilities who exited dropped out [N = 567 / 14,283]		
Target FFY 2005 (2005–2006) 3.96% of students with disabilities who exited dropped out			
Results FFY 2005 (2005–2006)	5.59% of special education students dropped out of school during the 2005-2006 school year [N = 671 / 12,013]		

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage

The FFY 2005 result is a significant departure from the target set in the State Performance Plan (SPP). While the basic concept of an "event rate" calculation remains the same, the method the state used this year differs substantially from that used last year for the SPP. As with graduation, the state had previously used a dropout calculation method that did not permit disaggregating by disability. In order to respond to the requirements of the SPP, ESS calculated the FFY 2004 dropout rate using codes identical to the exit codes required for the §618 report but extracted data from the Student Accountability Information System (SAIS). The Arizona Department of Education, as a whole, did not use this system last year.

All divisions within the ADE are now calculating dropout rates using the event rate method extracted from SAIS. However, the codes and grade levels that are included in the agency calculations encompass a wider range of events than does the §618 report, therefore—while more accurate and more consistent with agency results—the numbers cannot be compared with numbers generated last year for the SPP. The targets for future reporting are adjusted in this APR and in the SPP to reflect the new baseline information. Of particular note, the dropout rate for special education students is lower than the dropout rate for the general population.

2005-2006 Dropout Rate of students without disabilities [N = 22,765 / 360,420] 2005-2006 Dropout Rate of student with disabilities 5.59% [N = 671 / 12,013]

All improvement activities that were outlined in the SPP were completed within timelines. It is interesting to note that the small gap between students with and without disabilities that appeared to exist using the calculation method employed for 2004-2005 in the SPP is reversed with the new agency-wide approach.

Improvement Activities

- 1. See Improvement Activities Report under Indicator 1.
- 2. Identify agencies with notably high dropout rates for SWD compared to rates for all students and require PEA analysis of causes.

Status: The ESS monitoring system was revised during FFY 2005 for implementation during FFY 2006 to require monitored PEAs with high dropout rates to investigate compliance and conduct a root cause analysis.

Revisions to Proposed Targets / Activities / Timelines / Resources for FFY 2006

No revisions are proposed to activities during this next year. Substantial revisions to targets for 2006-2010 are necessary because of the ADE adoption of a calculation method that allows for disaggregating of students with disabilities and the reporting of comparable information to the public.

FFY	Revised Measurable and Rigorous Target	
2006 (2006–2007)	No more than 5.50% of students with disabilities will be deemed to have dropped out	
2007 (2007–2008)	No more than 5.40% of students with disabilities will be deemed to have dropped out	
2008 (2008–2009)	No more than 5.30% of students with disabilities will be deemed to have dropped out	
2009 (2009–2010)	No more than 5.20% of students with disabilities will be deemed to have dropped out	
2010 (2010–2011)	No more than 5.10% of students with disabilities will be deemed to have dropped out	

Monitoring Priority: FAPE in the LRE

Indicator 3: Participation and performance of children with disabilities on statewide assessments

- A. Percent of districts that have a disability subgroup that meets the State's minimum "n" size meeting the State's AYP objectives for progress for disability subgroup.
- B. Participation rate for children with IEPs in a regular assessment with no accommodations; regular assessment with accommodations; alternate assessment against grade level standards; alternate assessment against alternate achievement standards.
- Proficiency rate for children with IEPs against grade level standards and alternate achievement standards.

(20 U.S.C. 1416 (a)(3)(A))

Measurement

- A. Percent = [(# of districts meeting the State's AYP objectives for progress for the disability subgroup (children with IEPs)) divided by the (total # of districts that have a disability subgroup that meets the State's minimum "n" size in the State)] times 100.
- B. Participation rate =
 - a. # of children with IEPs in assessed grades;
 - b. # of children with IEPs in regular assessment with no accommodations (percent = [(b) divided by (a)] times 100);
 - c. # of children with IEPs in regular assessment with accommodations (percent = [(c) divided by (a)] times 100);
 - d. # of children with IEPs in alternate assessment against grade level achievement standards (percent = [(d) divided by (a)] times 100); and
 - e. # of children with IEPs in alternate assessment against alternate achievement standards (percent = [(e) divided by (a)] times 100).

Account for any children included in a but not included in b, c, d, or e above.

Overall Percent = [(b + c + d + e) divided by (a)].

- C. Proficiency rate =
 - a. # of children with IEPs in assessed grades;
 - b. # of children with IEPs in assessed grades who are proficient or above as measured by the regular assessment with no accommodations (percent = [(b) divided by (a)] times 100);
 - c. # of children with IEPs in assessed grades who are proficient or above as measured by the regular assessment with accommodations (percent = [(c) divided by (a)] times 100);
 - d. # of children with IEPs in assessed grades who are proficient or above as measured by the alternate assessment against grade level achievement standards (percent = [(d) divided by (a)] times 100); and
 - e. # of children with IEPs in assessed grades who are proficient or above as measured against alternate achievement standards (percent = [(e) divided by (a)] times 100).

Account for any children included in a but not included in b, c, d, or e above.

Overall Percent = [(b + c + d + e) divided by (a)].

Statewide Assessments Statistics				
	Baselines FFY 2004 (2004-2005)			
% of PEAs meeting AYP Math Participation Reading Participation Participation				Reading Proficiency
22.7 [N = 15 / 66]	94.9	94.5	25.4	27.1
	Targe	ets FFY 2005 (2005-	2006)	
% of PEAs meeting AYP	Math Participation	Reading Participation	Math Proficiency	Reading Proficiency
23.0	95*	95*	26.0	35.0
	Results FFY 2005 (2005-2006)			
% of PEAs meeting AYP	Math Participation	Reading Participation	Math Proficiency	Reading Proficiency
Math 18.92% [N = 14 / 74] Reading 16.22% [N = 12 / 74] Overall 12.16% [N = 9 / 74]	98.1	98.5	26.9	26.4

^{*}Revised target based on OSEP clarification

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage

Arizona met its FFY 2005 targets in 3 of the 5 subcategories from the State Performance Plan—Math participation, Reading participation, and Math performance. The state missed the target in Reading performance by a considerable amount. In fact, there was a small decline (<1%) in the total percentage of students with disabilities meeting or exceeding the standard on the state's reading assessments. It will be necessary to study the scores over a longer period of time to determine if this drop was an artifact of this particular year or a trend.

The state did not meet its goal of increasing the percentage of PEAs making AYP for students with disabilities. It is not anticipated that the state will meet this goal in future years as the percentage of students who must pass the AIMS test will increase substantially in order to meet the NCLB requirement that 100% of students pass the State test by 2014. Even if the percentage of students with disabilities passing each test increases each year, the rate will not match the rising NCLB targets; therefore, the percent of PEAs making AYP will continue to decline.

Figures 1 and 2 indicate the progress of children with disabilities in recent years. Longitudinal data will be reported for grades 3, 5, 8, and HS as these are the grades that have been tested in Arizona until recently.

Figure 1: Math Proficiency by Grade and Year

Math Proficiency

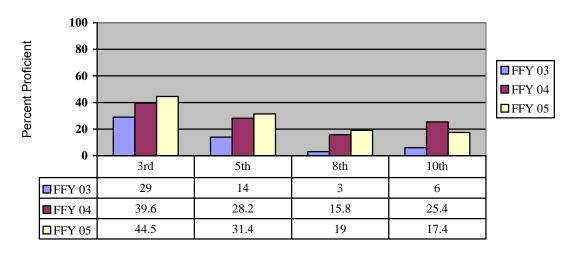
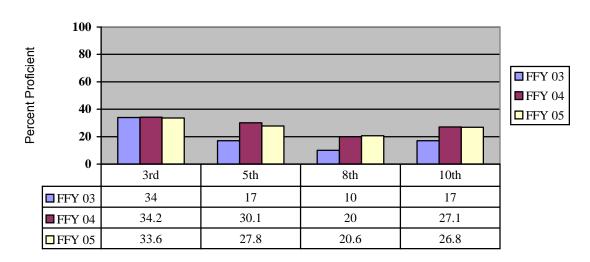


Figure 2: Reading Proficiency by Grade and Year

Reading Proficiency



Improvement Activities

1. Expand ESS Reading Initiative through Reading First and the AZ SIG Goal 3.

Status: SIG reading personnel were transferred to the Reading First team to expand and improve the collaboration between SIG and Reading First. This alliance provides the potential for providing support for students struggling with reading before referral to special education through the Arizona Response to Intervention model and for providing reading intervention strategies to a larger number of students already identified as special education.

Improvement Activities

2. Provide school-wide improvement assistance for agencies under NCLB sanctions.

Status: ADE maintains a division whose function it is to provide assistance to agencies that do not make AYP. This year, that division has required schools in their "warning" year (1st year not making AYP) to develop a plan to improve and to communicate to parents and staff of the failure to make AYP.

3. Revise monitoring procedures to require agencies with below average reading achievement scores for SWD to complete a root cause analysis and improvement plan.

Status: The ESS monitoring system in use during the 2005-2006 school year required PEAs with 3rd grade reading scores below the state average to complete a worksheet designed to identify potential root causes for low reading achievement and to develop a plan to address the causes. The monitorings will not be closed out for these PEAs without sufficient investigation and planning.

4. Develop and validate the Arizona alternate assessment against grade level standards and curriculum.

Status: Work on this activity was not initiated as the energy of the department was to complete the NCLB requirements for our general assessment and the alternate assessment against alternate achievement standards. Arizona's assessment system was approved by the USDOE in the summer of 2006. Work on an alternate assessment against grade level standards will commence when the USDOE releases the requirements for an assessment under the 2% rule.

5. Create a Response to Intervention (RTI) specialist position to assist agencies with building capacity for early intervention.

Status: A specialist position was established in January 2006 and was filled full-time in June 2006.

6. Establish a statewide procedure for agencies electing to use RTI as an identification strategy for special education.

Status: Forty-eight school teams are enrolled in the AZRTI pilot project during the 2005-2006 school year. These schools are assisting the state with fine tuning the RTI model by providing data on student outcomes and changes in identification rates for special education. Approximately 50,000 students are being served in the pilot. The agency continues to work on aligning the RTI procedures used by Reading First schools and the model being proposed for use in the identification of reading disabilities.

7. Disseminate information about AT and accessible textbooks available for general class use and test participation.

Status: The creation of the Assistive Technology unit within the ESS division and the implementation of the requirements for NIMAC/NIMAS have raised awareness of the potential for AT to facilitate student achievement and success on the State assessments. The majority of the work to date has focused on alerting schools to the options now open to them. Regional Outreach trainings were scheduled for the fall 2006.

8. Investigate critical components of the Arizona State Standards and AIMS assessment structure and provide guidance to the field on those elements.

Status: The investigation into the critical components revealed that the consistency between the State Standards and the AIMS is very high. Therefore, it is not possible to provide information to teachers about what constitutes the most critical skills/knowledge to teach first. This improvement activity did not yield the hoped for narrowing of skill sets for students with disabilities.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2005.		
Arizona is not modifying targets, improvement activities, or timelines at this point.		

Monitoring Priority: FAPE in the LRE

Indicator 4: Rates of Suspension and Expulsion

- A. Percent of districts identified by the State as having a significant discrepancy in the rates of suspensions and expulsions of children with disabilities for greater than 10 days in a school year; and
- B. Percent of districts identified by the State as having a significant discrepancy in the rates of suspensions and expulsions of greater than 10 days in a school year of children with disabilities by race and ethnicity.

(20 U.S.C. 1416(a)(3)(A); 1412(a)(22))

Measurement

- A. Percent = # of districts identified by the State as having significant discrepancies in the rates of suspensions and expulsions of children with disabilities for greater than 10 days in a school year divided by # of districts in the State times 100.
- B. Percent = # of districts identified by the State as having significant discrepancies in the rates of suspensions and expulsions for greater than 10 days in a school year of children with disabilities by race and ethnicity divided by # of districts in the State times 100.

"Significant discrepancy" is a rate above 5% of the special education population with more than two students suspended.

	Suspension and Expulsion Statistics			
	A			
Baseline FFY 2004 (2004-2005) 1.64% of PEAs in Arizona had suspension and expulsion rates of greater than 5% their population of special education students [N = 9 / 549]				
Target FFY 2005 (2005–2006)	1.60% of PEAs with suspension and expulsion rates ≥ 5% of their population of special education students			
Results FFY 2005 (2005–2006)	2.3% of the PEAs in Arizona had suspension and expulsion rates greater than 5% of their population of special education students [N = 14 / 591]			

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage

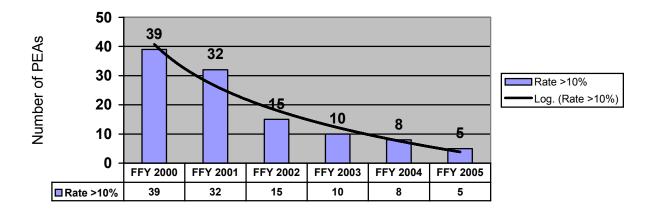
Arizona did not meet its target for FFY 2005. In order to achieve the target rate of 1.60%, all but 9 PEAs had to have suspension rates¹ of less than 5% of their special education students. A total of 14 PEAs had rates above 5% and suspended more than 2 students. An analysis of these PEAs shows that 5 of them suspended only 3 students but the size of their agency caused their rate to exceed the 5% allowance. In addition, of the 14 PEAs in the analysis only 2 were on the list for a second year.

¹ Arizona uses the term "suspension" in this report to refer to both suspensions longer than 10 days and expulsions. All data include both types of removals from school.

Arizona has tracked the number of districts with suspension rates over 10% for six years and that number continues to decline. Figure 3 indicates the progress the State has made in finding alternatives to suspension for students with disabilities.

Figure 3: Suspension Rate Decline over Time





Improvement Activities

Identify agencies with suspension rates of SWD >5% and require these agencies to analyze
data reporting procedures and comparison rates with nondisabled students and to identify
proactive initiatives to reduce suspension rates.

Status: The ESS monitoring system was revised during FFY 2005 for implementation during FFY 2006 to require monitored PEAs with high suspension rates to investigate compliance issues and conduct a root cause analysis.

2. Increase Arizona Positive Behavior Support Initiative (APBSI) participation among schools in Arizona.

Status: Eleven new districts have joined the APBSI project during FFY 2005. In addition, one regional cooperative was formed in which a multi-agency executive team attends the trainings and returns to their respective schools to provide professional development and to coach on-site participants.

3. Refer PEAs with high suspension rates for SWD to the technical assistance opportunities sponsored by ESS and School Safety and Prevention.

Status: The ESS monitoring system has focused attention on those agencies with high suspension rates. ESS specialists working with the agencies provide information regarding APBSI and other professional development opportunities. Approximately 50% of the 135 SUPPORT Cadre visits conducted between July 1, 2005 and June 30, 2006 were related to behavior management issues.

Improvement Activities

4. Collaborate with the leadership of the School Safety and Prevention Division (SSPD) to expand the data analysis capabilities of the APBSI to schools beyond those currently enrolled.

Status: An agency-wide team has identified common data elements and is developing EDEN-compatible definitions that meet the federal reporting requirements for all programs. Funding to build a Web-based data collection system has been obtained.

Revisions to Proposed Targets / Improvement Activities / Timelines / Resources

Arizona is not revising its proposed targets for 4.A. Two additional improvement activities have been added in the revised State Performance Plan. Activity 15 will involve consideration of changing the minimum number of students a PEA must have suspended in order to fall into the significance range. The original N count that Arizona has used (two students) may be unrealistically low given the number of small districts and charter schools in the state. Had the N count been set at five students, Arizona would have met its target with ease. Activity 16 will required PEAs identified with a significant discrepancy regarding suspensions by race/ethnicity to engage in the same root cause analysis in which PEAs with general suspension issues are required to engage.

Indicator 4.B information is available in the State Performance Plan.

Monitoring Priority: FAPE in the LRE

Indicator 5: School-Aged Placements

Percent of children with IEPs aged 6 through 21:

- A. Removed from regular class less than 21% of the day;
- B. Removed from regular class greater than 60% of the day; or
- C. Served in public or private separate schools, residential placements, or homebound or hospital placements.

(20 U.S.C. 1416(a)(3)(A))

Measurement

- A. Percent = # of children with IEPs removed from regular class less than 21% of the day divided by the total # of students aged 6 through 21 with IEPs times 100.
- B. Percent = # of children with IEPs removed from regular class greater than 60% of the day divided by the total # of students aged 6 through 21 with IEPs times 100.
- C. Percent = # of children with IEPs served in public or private separate schools, residential placements, or homebound or hospital placements divided by the total # of students aged 6 through 21 with IEPs times 100.

	Measurement A <21%	Measurement B >60%	Measurement C Separate
Baseline FFY 2004 (2004-2005)	48.0%	17.8%	2.7%
Target FFY 2005 (2005-2006)	49.0%	17.0%	2.7%
Results FFY 2005 (2005-2006)	50.5% [N = 55,774 / 110,442]	17.2% [N = 19,003 / 110,442]	2.6% [N = 2,837 / 110,442]

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage

Arizona met or exceeded its FFY 2005 targets for this indicator and completed all improvement activities on or ahead of schedule.

Improvement Activities

1. Initiate Autism Training Project.

Status: The state has implemented a personnel preparation program for teachers of children with autism. The program consists of a three-year curriculum, with a new cohort starting each year. Three cohorts, enrolling 27 districts, are now engaged in the project. The curriculum was developed jointly with the universities in Arizona and is presented over six in-service days and a summer summit.

Increase training and supervision of LRE reporting.

Status: The ADE provides training to all education agencies through a team known as the STaR (System Training and Response) team. They provide guidance, training, and ongoing technical support to PEAs in all areas of data collection, including special education data.

3. Train ESS specialists to be able to oversee and provide assistance to agencies in the area of data reporting.

Status: The ESS monitoring system was revised for the SY 2006-2007 (see Activity 6) to include the use of SPP/APR Performance Indicators as selectives for monitoring components. In order to identify the appropriate components for their PEAs, ESS specialists must understand and be able to explain the specific data elements, the sources of information, and potential reliability and validity issues, including those associated with LRE data.

During the summer monitoring training and in subsequent staff meetings, the Director of Program Support and the ESS Data Manager provided ESS specialists with the tools to assist their assigned PEAs in accurately reporting placement information through the State's student accountability system.

4. Revise ADE census reporting to reflect differences between voucher placements unrelated to FAPE and those necessary for FAPE.

Status: The implementation of this activity is scheduled for FFY 2006.

5. Identify agencies with excessive numbers of restrictive placements and require analysis of causes and improvement planning.

Status: See Activity 6.

6. Revise the monitoring system to require agencies with high numbers of restrictive placements to investigate placement procedures and addition options.

Status: The ESS monitoring system was completely revamped for SY 2006-2007. The new system uses individual PEA data on the SPP/APR Performance Indicators to identify some of the compliance elements to be addressed during a specific monitoring. In addition, for selected indicators, a root cause analysis is incorporated into the corrective action plan for the monitoring. (See Indicator 15 for additional information on the revised monitoring system.)

Five PEAs were identified as needing to address the compliance items related to self-contained placements for school-aged children. Nine PEAs were selected on the basis of preschool LRE, and three PEAs were identified as needing to address LRE in both their school-aged and preschool populations.

Root cause analyses were required of the PEAs with the most significant variance from the state average percentages for LRE.

Revisions to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006

No revisions are necessary.

Monitoring Priority: FAPE in the LRE

Indicator 6: Preschool Placements

Percent of preschool children with IEPs who received special education and related services in settings with typically developing peers (e.g., early childhood settings, home, and part-time early childhood/part-time early childhood special education settings).

(20 U.S.C. 1416(a)(3)(A))

Measurement

Percent = # of preschool children with IEPs who received all special education services in settings with typically developing peers divided by the total # of preschool children with IEPs times 100.

Preschool Placements Statistics			
Baseline FFY 2004 (2004-2005) 47% of Arizona's 3–5 year olds served in settings with typically developing pee			
Target FFY 2005 (2005-2006)	48.0% of Arizona's 3–5 year olds served in settings with typically developing peers		
Results FFY 2005 (2005–2006)	45.9% of Arizona's 3–5 year olds were served in settings with typically developing peers		
	[N = 6,456* / 14,062]		

^{*}Includes children placed in reverse mainstream preschools with 50% typical peers

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage

Increasing placements of Arizona preschool children with IEPs with typical peers continued to be a challenge in FFY 2005. The largest challenge to increasing LRE placements is due to a disincentive in Arizona law that excludes from child care licensure requirements any classroom that serves four or less children without compensation. Schools seek exemption from the complexity and cost of child care licensure by keeping the number of typical peers at four or less. Additionally, federal and state funding available to provide preschool for typically developing children has decreased or remained level, while the number of children with IEPs has increased, further decreasing the number of typical peers enrolled in preschool programs. Another significant challenge facing Arizona schools is lack of space for preschool programming. The state funding formula for school capital improvements does not recognize preschool classroom space used for children that do not have IEPs. Schools allocate limited classroom space to programs that generate capital funding, resulting in restricted classroom space and programs serving typical peers.

The SEA will continue improvement activities that focus on building inclusive community placements, and building the knowledge and understanding of the benefits of inclusive environments for children among state early childhood partners and education leaders.

Improvement Activities

1. Provide professional development on LRE during nine "Critical Issues" Outreach sessions.

Status: Critical Issues Outreach sessions were completed FY 2005-2006. Additionally, LRE training was conducted within the five "A Team Approach to Preschool Evaluations and Transition to School-Aged Services" conferences that were held between February of 2005 and January of 2007 and at the Arizona Early Learning Conference in June 2006. Continuum of services, and scaffolding funds between state programs such as Early Childhood Block Grant, Family Literacy, Community Education and Special Education Programs within school districts were addressed. Combining children in classrooms was promoted, as well as servicing children within their current childcare placements as a service option. Collaboration with Head Start programs to provide inclusive opportunities was also emphasized.

2. Continue training on accurate use of EC setting codes in SAIS.

Status: Will provide technical assistance papers and outreach trainings to train on the new Part B Educational Environments Data Collection for Children Ages 3–5 for the FY 2008 reporting period.

3. Develop and implement inclusion TA plan with MPRRC; convene Early Childhood Inclusion Coalition.

Status: Arizona Early Childhood Inclusion Coalition is a cross-agency statewide initiative to promote inclusive options for young children with disabilities. This group was established in Chapel Hill, NC at the Early Childhood Inclusion Institute in July 2005, with the goal to improve the number of preschool students who receive services in inclusive environments. Current agenda items are to develop a set of talking points regarding preschool inclusion, promote inclusion with Governor Janet Napolitano's School Readiness Board, and address issues of the School Facilities Board and Department of Health Services Licensure that are barriers to inclusion in Arizona. The Early Childhood Inclusion Coalition will continue to focus on resolving these issues and barriers.

4. Participate in National Individualizing Preschool Inclusion Project (NIPIP) with Vanderbilt University, piloting five PEA sites in partnership with the three state universities.

Status: The pilot was completed in May of 2006. The information from NIPIP was presented at the statewide Director's Institute in October 2006. The presentation focused on embedding therapies, engagement with typical peers, and functional goal writing. Arizona plans to continue this professional development opportunity at the Early Learning Institute and through Outreach trainings to continue in FY 2007 and FY 2008.

5. Provide financial grant to Arizona Council of Exceptional Children's Division of Early Childhood (AZ DEC) chapter to develop Count Me In, a resource handbook for inclusion, and provide targeted technical assistance in selected PEAs.

Status: Arizona has continued to promote resources such as the "High Quality Inclusion Opportunities for Preschool-aged Children with Disabilities" Proceedings Document from July 2005 and "An Administrator's Guide to Preschool Inclusion". These resources are posted on the early childhood Web site and promoted at trainings, monitoring visits, and during technical assistance. The "Count Me In" resource handbook specific to Arizona continues to be in the development stage. A Spring Conference was co-hosted with Arizona DEC targeting preschool behavioral issues, which are often a barrier to preschool inclusion.

6. Annually review PEA-level LRE data and provide specific technical assistance to targeted PEAs that do not show an increase in the number of children receiving services in inclusive settings.

Status: In addition to the TA provided through the Early Childhood Office, the ESS monitoring system was revised to require greater attention to preschool LRE in those districts with a low percentage of 3–

Improvement Activities

5 year olds in inclusive settings. See Activity 6 in Indicator 5.

Revisions to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006

One additional improvement activity was added to the State Performance Plan. Activity 9 revolves around the growing problem with space for preschool programs that is adequate to house both special education preschool children and their typical peers.

Monitoring Priority: Effective General Supervision Part B / Effective Transition

Indicator 12: Preschool Transition

Percent of children referred by Part C prior to age 3 who are found eligible for Part B and who have an IEP developed and implemented by their third birthday.

(20 U.S.C. 1416(a)(3)(B))

Measurement

- a. # of children who have been served in Part C and referred to Part B for eligibility determination.
- b. # of those referred determined to be NOT eligible and whose eligibilities were determined prior to their third birthdays.
- c. # of those found eligible who have an IEP developed and implemented by their third birthdays.
- d. # of children for whom parent refusal to provide consent caused delays in evaluation or initial services.

Account for children included in a but not included in b, c, or d. Indicate the range of days beyond the third birthday when eligibility was determined and the IEP developed and the reasons for the delays.

Percent = [(c) divided by (a - b)] times 100.

Preschool Transition Statistics		
Baseline FFY 2004 (2004-2005) 83% of children referred by Part C prior to age 3 had their eligibility estable if eligible, have an IEP developed and, if appropriate, implemented by the birthday*.		
Target FFY 2005 (2005-2006)	100% of children referred by Part C prior to age 3 have their eligibility established and, if eligible, have an IEP developed and, if appropriate, implemented by their 3 rd birthday.	
Results FFY 2005 (2005–2006)	63.61% of children referred by Part C prior to age 3 had their eligibility established and, if eligible, have an IEP developed and, if appropriate, implemented by their 3 rd birthday*.	

^{*}Substantial modification of data collection and reporting occurred between the baseline and the FFY 2005 report. See the State Performance Plan for additional information.

Breakdown of Results

a. # referred by Part C < age 3	b. # determined not eligible ≤ to age 3	c. # eligible with IEPs ≤ to age 3	d. # with parental delay	% of children meeting ≤ age 3 requirement
2487	229	1353	Not available	63.61

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2005

Prior to the 2005–2006 school year, the only data collection method that Arizona had for this indicator was monitoring statistics. The data reported in the SPP was based on a sample size of 236 files of children who were Part B eligible. With the publication of the requirements for the SPP, this method of calculation was no longer viable as it did not consider the timelines for children who were found ineligible for Part B, nor did it seem to represent sufficient sample size.

To respond to this problem, the ADE/ESS instituted a year-end report (to coincide with the collection of other §618 data) that captured the data as it was required for the SPP. The reporting requirement was extended to all elementary and unified districts in the state and, thus, the data presented in this APR is no longer based on a sample but on the entire population of children exiting Part C who were referred to Part B.

Correcting non-compliance on this indicator with individual PEAs is a joint function of the Exceptional Student Services and Early Childhood divisions. ESS now requires documentation of 100% compliance prior to closing any monitoring corrective action plans (See Indicator 15 for closeout results within one year) and EC works with non-compliant PEAs to resolve the roadblocks to 100% compliance.

Improvement Activities

1. Continue providing targeted technical assistance on transition agreement compliance to PEAs as requested or identified through monitoring and data analysis.

Status: In Fall 2006, ECE and AzEIP partnered to present half-day interactive regional training sessions titled "Seamless Transitions from AzEIP to Early Childhood Special Education Services" to targeted groups of school district and AzEIP personnel throughout the state. The sessions provided explicit instructions and recommendations for each step of the transition process, and allowed teams from each district and AzEIP service provider to create actions steps to comply with the transition agreement requirements.

ECE and AzEIP will continue regional trainings in Fall 07. Districts that did not attend prior training will be identified and targeted for these sessions. Updates on the revised transition IGA will also be included.

Enhance corrective action plan development as a result of monitoring findings to require the review of student files for the reasons the FAPE-by-three requirement was not met and the implementation of actions to overcome the identified causes.

Status: This requirement was built into the monitoring system that was implemented during the 2005–2006 school year. As districts reach their timelines for completing corrective actions and demonstrating compliance, ESS monitors will evaluate the districts' findings and action plans to determine the effectiveness of the changes.

Improvement Activities

Mine data from the enhanced AzEIP data system to validate FAPE-by-age-three information required by OSEP indicators.

Status: To be determined in Spring - Fall 2007

4. Enhance SAIS by adding FAPE-by-three and Part C indicator fields for student level data record.

Status: The SAIS system requirements for indicating Part C participation are in effect for the 2006-2007 school year. However, the OSEP calculation method for this indicator requires the inclusion of children who were found not to be eligible. Therefore, SAIS will not be an effective method of collection of the data for this indicator as only those preschool children who are eligible can be entered into the student record system.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006

Arizona is adding additional improvement activities (See State Performance Plan) to improve the results on this indicator. Activity 5 will focus on modifying the data collection form to capture the children who were delayed in placement because of parental wishes. Activity 6 will require districts to demonstrate 100% compliance with the indicator prior to the closeout of their monitoring corrective action plan. Activity 7 will shed light on the districts with less than 100% compliance with the publication of the APR results on the ESS website. Finally, Activity 8 will require districts with significantly poor performance on this indicator to respond annually to a root cause analysis similar to the one used during the monitoring cycle.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 15: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416 (a)(3)(B))

Measurement

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and enforcement actions, that the State has taken.

Corrective Action Statistics				
Baseline FFY 2003 (2003-2004)	65.9% of corrective actions completed within one year of identification Recalculated according to new SPP/APR measurement requirements			
Target FFY 2005 (2005-2006)	100% of corrective actions completed within one year of identification			
Results FFY 2004 (2004-2005)	92.4% of corrective actions were completed within one year of identification [N = 208 / 225] The total of 225 included 97 monitorings and 128 complaints.			

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for 2005

Monitoring

Substantial progress was made by the State in achieving Corrective Action Plan closeouts within one year of the Exit Conference date for monitoring. The baseline percentage reported in the State Performance Plan was a 1-year-closeout rate of 53%. The improvement is attributed to adequate notification of the expectation by the State to the PEAs, an increased emphasis by assigned specialists upon adherence to the timelines, and a process of notifying PEAs of their impending deadline for closing out.

Sixteen PEAs that were monitored during FFY 2005 did not complete all corrective action and demonstrate compliance by the end of their allotted year. The enforcement steps taken by the ADE/ESS are reported in Table 1.

Table 1: ESS Enforcement Steps used for FFY 2004 monitoring

Enforcement Steps		Number of PEAs	Results
1.	Failure to close notice—30-day deadline	16	9 closed with no further action
2.	Interruption of IDEA payments—60–day deadline	7	1 closed with no further action
3.	Special monitor/interruption of 10% state aid	6	3 closed with no further action
4.	Request for a Notice of Intent to Revoke or Voluntary Surrender of the charter	2	1 school surrendered 1 school was revoked
5.	Permanent withholding of FFY 2005 funds Note: The charter school failed to respond to the option of a special monitor and the notice of the opportunity for a hearing.	1	The school closed the monitoring in Fall 2006

Step 1 of the enforcement steps involves the notification to the PEA that their allowed time has expired and that they must complete all corrective action within 30 days or risk interruption of IDEA funds. For those PEAs that cannot demonstrate compliance within that timeframe, the ESS interrupts payments (Step 2) for all payment cycles until the ESS specialist verifies the completion of corrective actions. Once that occurs, all payments that were on hold are released to the PEA.

Step 3 of the ESS enforcement process involves giving PEAs a choice between contracting with a "special monitor" and permanent withholding of IDEA funds for a given year. The special monitor option requires that the PEA select an individual from a set of resumes provided by ESS and arrange for that person to provide the on-going and rapid technical assistance that the PEA needs to resolve their compliance issues. The special monitor reports the PEAs progress (or lack of progress) to the ESS on a regular basis. The purpose of the special monitor option is to ensure that PEAs have the intensive assistance needed without using an extraordinary amount of their assigned ESS specialist's time. The ESS specialist makes frequent visits with the special monitor to verify progress. Insufficient progress results in further enforcement action. Most PEAs in this circumstance have elected the special monitor option instead of the permanent withholding of IDEA funds.

In addition to the option listed above, PEAs in Step 3 have 10% of State aid put on hold until ESS verifies compliance is achieved.

ESS monitored five charter schools that closed their doors during the fiscal year. Three of the schools had closed out their ESS monitoring prior to closing and two had not. One of these two schools voluntarily surrendered their charter in the face of multiple compliance issues—most unrelated to special education. One charter had its charter revoked because of multiple and widespread non-compliance issues, including special education issues.

Complaints

Only one district was unable to complete corrective action within one year of the notification of findings. Funds were interrupted, a special monitor was assigned, and the ADE is now moving forward to permanently withhold all IDEA funds from the district for the current year. In addition, the district has been scheduled for a full special education monitoring during FFY 2006 year to identify specific areas beyond the complaint findings that must be addressed.

Improvement Activities for Monitoring

1. Notify all agencies of the OSEP requirement that all CAPs be cleared within one year.

Status: Notice to all special education directors; January 24, 2005.

2. Emphasize at all monitoring exit conferences the one-year closeout requirement.

Status: SFY 2006 Monitoring Handbook was revised to reflect one-year closeout requirement and enforcement after expiration of one year.

3. Modify the ESS monitoring system to accurately capture the closeout status of all monitorings on an ongoing basis.

Status: Computer-based CAP Progression report linked to web monitoring system to capture up-to-the-minute CAP status by PEA.

4. Add a "monitoring close-out due" notification letter to be sent to all PEAs 45–60 days prior to the expiration of their one-year timeline.

Status: Close-out alert form letter developed and sent to PEAs 60 days prior to one-year due date beginning with the SFY 2006.

5. Continue to require intensive TA to all PEAs unable to closeout within one year.

Status: ESS specialists provide ongoing TA to PEAs struggling with compliance. PEAs with monitorings that are open 60 days after the one-year anniversary are given the option of a PEA-paid special monitor/TA provider or withholding of IDEA funds.

6. Continue to implement progressive enforcement activities for failure to complete corrective action items.

Status: See Table 1 for current-year enforcement activities.

Improvement Activities for Complaint Investigation

1. Continue established tracking system to monitor submission of required corrective actions.

Status: Tracking system continues to be an effective measure to ensure corrective actions are received in a timely manner.

2. Modify procedures so that corrective actions that allow the school greater than one year to complete will no longer be issued.

Status: Procedures were modified to disallow any corrective actions that exceeded one year.

3. Train a backup CACM coordinator so that no interruption of oversight could occur.

Status: A second ESS complaint investigator is trained on the tracking system and would be utilized if the CACM coordinator were unavailable

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2005

No revisions are necessary at this time.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 16: Complaint Investigation Timelines

Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B))

Measurement

Percent = (1.1(b) + 1.1(c)) divided by (1.1) times 100.

Complaint Investigation Statistics		
Baseline FFY 2004 (2004-2005)	73.9% of state complaints findings were issued within 60 days of receipt	
Target FFY 2005 (2005–2006)	100% of state complaints findings issued within 60 days of receipt	
Results FFY 2005 (2005–2006)	99.4% of state complaints findings were issued within 60 days of receipt [N = 160 / 161]	

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2005

Arizona has made substantial progress in meeting the timelines for the timely completion of state complaints. Only one letter of findings was not issued within 60 days of receipt and that letter was completed on the 61st day.

Improvement Activities

1. Add a new paragraph to each Letter of Acknowledgement outlining ADE's expectation that the parties to the complaint will provide the investigator relevant documentation and make the necessary individuals available for interviews or risk the Letter of Findings being written without their input.

Status: The following paragraph has been added to each Letter of Acknowledgement: "Upon receipt of this letter, I strongly encourage you to begin compiling all potentially pertinent documentation and determining the availability of relevant staff for interviews. This information should be provided to the complaint investigator as soon as possible, but not later than 30 days following the receipt of this letter. Failure to comply with the investigator's request for information in a timely manner may result in findings being made without your input."

2. Establish a reminder system to alert the complaint investigator a week prior to a complaint due date that the 60–day timeline is about to expire. The investigator will be granted an extension prior to the timeline running out if one is justified.

Status: The director of Dispute Resolution notifies each complaint investigator ten days prior to a complaint

Improvement Activities

due date that the timeline is about to expire. Each complaint is closely monitored to ensure the timeline is met.

3. Analyze work flow quarterly and adjust assignments as necessary between offices and investigators. Status: Work flow is monitored on a continuous basis to ensure assignments are equitably distributed.

Revisions, with Justification, to Proposed Targets / Improvement / Activities / Timelines / Resources for FFY 2006

No revisions are necessary at this time.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 17: Due Process Hearing Timelines

Percent of fully adjudicated due process hearing requests that were fully adjudicated within the 45–day timeline or a timeline that is properly extended by the hearing officer at the request of either party.

(20 U.S.C. 1416(a)(3)(B))

Measurement

Percent = (3.2(a) + 3.2(b)) divided by (3.2) times 100.

Overview of Issue / Description of System or Process

Beginning August 12, 2005, Arizona moved from its previous two-tiered due process system to a one-tier system. Under the current system, due process hearing requests are received by ESS and are then immediately forwarded to the Arizona Office of Administrative Hearings (OAH), a state agency charged with conducting administrative hearings and making decisions in contested cases and appealable agency actions for various state agencies. OAH employs full-time Administrative Law Judges (ALJ), four of whom are assigned to hear special education due process hearings. The ALJs are attorneys who are knowledgeable about the IDEA and related State laws and rules and are trained yearly through ESS.

Due Process Timeline Statistics			
Baseline FFY 2004 (2004-2005)	86% of due process decisions were issued within 45 days of filing		
Target FFY 2005 (2005–2006)	100% of due process decisions issued within 45 days of filing		
Results FFY 2005 (2005–2006)	100% of due process decisions were issued within 45 days of filing [N = 1/1]		

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage

Arizona had 46 requests for a due process hearing filed during FFY 2005. All but one of the requests was resolved without a hearing—20 as a result of a mediation or resolution session. The balance was resolved independently of the ADE. The one request that was fully adjudicated was the result of two requests by the same parent within a fairly short time period. The two requests were consolidated into one by the parties and the consolidated hearing was held within the timelines with an extension of the second request.

Improvement Activities	
. Implement new legislation that changed Arizona to a one-tier due process system.	

Status: The statutory change went into effect on August 12, 2005.

2. Propose changes to Arizona Administrative Code rules relating to due process.

Status: Proposed changes to the Arizona Administrative Code are in process.

Revisions, with Justification, to Proposed Targets / Improvement / Activities / Timelines / Resources for FFY 2006

No revisions are necessary at this time.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 19: Mediation Effectiveness

Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B))

Measurement

Percent = (2.1(a)(i) + 2.1(b)(i)) divided by (2.1) times 100.

Mediation Statistics				
Baseline FFY 2004 (2004-2005)	82.0% of mediation requests resulted in a mediation agreement			
Target FFY 2005 (2005–2006)	82.5% of mediation requests result in a mediation agreement			
Results FFY 2005 (2005–2006)	88.9% of mediation requests resulted in a mediation agreement [N = 24 / 27]			

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage:

Arizona completed all of the planned activities during FFY 2005.

Improvement Activities

Provide mediation training.

Status: The annual training session for mediators was held on December 2, 2005.

2. Utilize PINS specialists to discuss value of mediation with parents.

Status: The Dispute Resolution Unit and the PINS have continuous contact to discuss providing accurate information regarding mediation to parents. PINS discuss mediation with parents during phone, email, and on-site consultation.

3. Analyze feedback from mediation survey sent to parties following mediation to determine what ADE can do to improve the mediation system.

Status: Feedback from mediations is analyzed to determine possible ways to improve the system.

4. Present training sessions at annual Directors' Institute on mediation.

Status: The Director of Dispute Resolution, along with the mediation coordinator and the complaint investigators, held a roundtable discussion with attendees at the Directors' Institute. The discussion covered all aspects of dispute resolution with an emphasis on mediation.

Revisions to Proposed Targets / Improvement / Activities / Timelines / Resources for FFY 2006 No revisions are necessary at this time.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 20: Reporting Accuracy and Timeliness

State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B))

Measurement

State reported data, including 618 data and annual performance reports, are:

- A. Submitted on or before due dates (February 1 for child count, including race and ethnicity, placement; November 1 for exiting, discipline, personnel; and February 1 for Annual Performance Reports); and
- B. Accurate (describe mechanisms for ensuring accuracy).

Accurate and Timely Reporting Statistics		
Baseline FFY 2004 (2004-2005)	100% of data was reported by the deadline	
Target FFY 2005 (2005–2006)	100% of data will be reported accurately and by the deadline	
Results FFY 2005 (2005–2006)	85% of data was reported accurately and by the deadline	

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage

Arizona has consistently met the reporting deadlines to OSEP for the last several years. However, difficulties in verifying a final child count has resulted in the state submitting surrogate data by the deadline. The state also has an unresolved audit issue in this area that will require politically difficult statutory change before it can be resolved. In the 1990's, the state legislature agreed to the creation of a student accountability information system (SAIS) with the provision that education agencies be permitted to amend SAIS information for up to three years following the original submission of data. This element of the law makes it difficult to replicate special education child count information from one data pull to the next. The solution to the timely submission of federal child counts and to the verification of child counts after the submission will require statutory change. In the interim, ESS has used a paper verification system to ensure the federal child count matches PEA records. This laborious method results in the submission of surrogate data by the deadline.

The issue of PEAs being able to amend SAIS data for three years may impact the accuracy of the reported graduation and dropout statistics as those numbers are also extracted from SAIS. ESS and SAIS staff are working together to craft potential solutions in these two areas. These three elements cause ESS to report that our results for this indicator are only at 85% performance.

Arizona has in place multiple validity and reliability checks to ensure that the best possible data is available for federal and state use. ESS is using the Critical Elements draft provided at the National Accountability Conference to evaluate the current system and to make improvements. Current status and improvement efforts are reported below by principle (as articulated in the guidance document).

Principle 1: Data Collection—Data collection plan, including policies and procedures, for collecting and reporting accurate data.

Arizona has in place five of the critical elements identified in the guidance document. The sixth element—consultation with data providers—occurs on a regular basis when elements are being added or revised but is not in an on-going, formal system.

Principal 2: Data Editing and Validation—Procedures are in place for editing and validating data submitted by providers.

Arizona meets two of the critical elements in that electronic submission has multiple validity checks and allows data providers to compare current submissions with prior years to identify any substantial anomalies. The modifications to the ESS monitoring system with its heavy reliance on child outcome data moves the state forward in meeting the third critical element under this principle. Work needs to continue in this area and in resolving data editing issues within SAIS as a result of state statutory allowances.

Principle 3: Data Reporting—Data is available to the public and data quality problems are identified and reported.

Data, including SPP/APR data, will be reported to the public on the ADE/ESS website by March 2007. Multiple indicators were available on that site during FFY 2005. The ADE/ESS is in the process of developing a more user-friendly viewing method than the Excel spreadsheets that will be used for FFY 2005 data, but that system will not be implemented until FFY 2006.

Principal 4: System Management and Documentation—Policies and procedures are in place for maintaining the integrity of collection and reporting systems.

The agency addresses all five of the critical elements within this principle and continues to work with all data users and reporters to improve the validity and reliability of information. There are intrinsic difficulties in some of the requirements that continue to present challenges and these are visited repeatedly to move toward resolution.

Data Accuracy and Timeliness Improvement Activities

1. Improve data integrity checks in Student Accountability Information System (SAIS).

Status: The SAIS improvement activities continue within the ADE with collaboration among the various divisions that extract data. Improvements during FFY 2005 included extractions regarding dropout, graduation, exit status, and LRE reporting.

2. Collaborate with Safe and Drug Free Schools (SDFS) staff to build data set for suspension/expulsion.

Status: SDFS received a USDOE grant to build a Web-based data collection system. A systems analyst is currently working on identifying all of the data elements necessary to meet all disciplinary reports for the agency.

3. Extract exit data from SAIS.

Status: Exit data were extracted from SAIS for the first time during FFY 2005.

4. Maintain the timeliness of data submission at 100% and review annually, at a minimum, to update/improve accuracy and timeliness.

Status: Timeliness was maintained. The ESS is using the Critical Elements document provided by OSEP to review and amend agency procedures to ensure continued improvement in the accuracy of the data.

One additional improvement activity has been added (See State Performance Report). Activity 7 will address the need for statutory changes to limit the amount of time PEAs have to correct and modify data.

Part B -	SPP	APR	Attachn	nent 1
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Attachment 1: Table 6—Participation and Performance of Students with Disabilities

U.S. DEPARTMENT OF EDUCATION OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES OFFICE OF SPECIAL EDUCATION PROGRAMS

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

2005-06

PAGE 1 OF 18

OMB NO.: 1820-0659

FORM EXPIRES: 09/30/2007

STATE: ____ARIZONA_____

SECTION A. ENROLLMENT DATA FOR THE MATH ASSESSMENT¹

GRADE LEVEL	STUDENTS WITH IEPs (1)	ALL STUDENTS (2)
3	11169	80805
4	11515	81152
5	11646	80382
6	10928	80791
7	10171	80518
8	10077	80581
HIGH SCHOOL (SPECIFY GRADE:10)	8522	75036

¹At a date as close as possible to the testing date.

Ar	izona	

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

2005-06

PAGE 2 OF 18

OMB NO.: 1820-0659

FORM EXPIRES: 09/30/2007

STATE: _____ARIZONA_____

SECTION B. PARTICIPATION OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT

	STUDENTS WITH DISABILITIES WHO TOOK REGULAR ASSESSMENT ON GRADE LEVEL ACHIEVEMENT STANDARDS			
GRADE LEVEL	TOTAL (3)	SUBSET WHO TOOK THE ASSESSMENT WITH ACCOMODATIONS (3A)	SUBSET WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR SCORE ¹ (3B)	SUBSET WHOSE ASSESSMENT RESULTS WERE INVALID ² (3C)
3	10330	4966	688	91
4	10778	5913	892	102
5	10806	6297	1044	69
6	10100	6014	1243	107
7	9202	5526	1648	134
8	9083	5578	1789	124
HIGH SCHOOL (SPECIFY GRADE:10)	7312	4770	1945	65

¹ Changes to the assessment that invalidate a score are changes in testing materials or procedures that enable a student to participate in the assessment, but result in a score that is not deemed by the State to be comparable to scores received by students without these changes. In some States these changes are called modifications or nonstandard administrations.

² Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

Part B - SPP / A	PR Attachment 1
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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

PAGE 3 OF 18

OMB NO.: 1820-0659

FORM EXPIRES: 09/30/2007

STATE: _____

2005-06

SECTION B. PARTICIPATION OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT

	STUDENTS WITH DISABILITIES WHO TOOK OUT OF GRADE LEVEL ASSESSMENT		
GRADE LEVEL	TOTAL (4)	SUBSET WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR SCORE ¹ (4A)	SUBSET WHOSE ASSESSMENT RESULTS WERE INVALID ² (4B)
3			
4			
5			
6			
7			
8			
HIGH SCHOOL (SPECIFY GRADE:)			

¹ Changes to the assessment that invalidate a score are changes in testing materials or procedures that enable a student to participate in the assessment, but result in a score that is not deemed by the State to be comparable to scores received by students without these changes. In some States these changes are called modifications or nonstandard administrations.

² Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

PAGE 4 OF 18

OMB NO.: 1820-0659

ARIZONA

2005-06 FORM EXPIRES: 09/30/2007

STATE: _____

SECTION B. PARTICIPATION OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

		STUDENTS WITH DISABILITIES WHO TOOK ALTERNATE ASSESSMENT								
GRADE LEVEL	TOTAL (5)	SUBSET WHOSE ALTERNATE WAS SCORED AGAINST GRADE LEVEL STANDARDS (5A)	SUBSET WHOSE ALTERNATE WAS SCORED AGAINST ALTERNATE ACHIEVEMENT STANDARDS (5B)	SUBSET COUNTED AT THE LOWEST ACHIEVEMENT LEVEL BECAUSE OF THE NCLB CAP ³ (5C)	SUBSET WHOSE ASSESSMENT RESULTS WERE INVALID ⁴ (5D)					
3	707	NA	707	0	0					
4	629	NA	629	0	0					
5	683	NA	683	0	0					
6	705	NA	705	0	0					
7	790	NA	790	0	0					
8	761	NA	761	0	0					
HIGH SCHOOL (SPECIFY GRADE:10)	772	NA	772	0	0					

³ NCLB cap is the limit on the percent of students whose scores can be held to alternate achievement standards in AYP calculations.

⁴ Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

Part B - SPP	/ APR	Attachmer	ıt 1
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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION B. PARTICIPATION OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

	S	STUDENTS WHO DID NOT TAKE ANY ASSESSMENT							
GRADE LEVEL	PARENTAL EXEMPTIONS (6)	ABSENT (7)	EXEMPT FOR OTHER REASONS ⁵ (8)						
3		132							
4		108							
5		157							
6		123							
7		179							
8		233							
HIGH SCHOOL (SPECIFY GRADE:10)		438							

⁵ Provide list of other reasons for exemption with the number of students exempted by each grade and reason for exemption.

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION C. PERFORMANCE OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT

	REGULAR ASSESSMENT ON GRADE LEVEL (9A)										
		1	2	3	4						9A
GRADE LEVEL	TEST NAME	Achievement Level ¹	Achievement Level	ROW TOTAL ²							
3	AIMS	3383	2608	3405	843						10239
4	AIMS	4162	2524	3142	848						10676
5	AIMS	5067	2622	2531	517						10737
6	AIMS	6170	1839	1683	301						9993
7	AIMS	5630	1680	1598	160						9068
8	AIMS	6395	1201	1254	109						8959
HIGH SCHOOL (SPECIFY GRADE: 10)	AIMS	5657	668	863	59						7247

LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT: 3		
	LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:	3

¹ Include all students whose regular assessment score was in the lowest achievement level plus all students who received a score but changes to the assessment invalidated their score (column 3B).

² The total number of students reported by achievement level in 9A is to equal the number reported in column 3 minus the number reported in columns 3C.

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SECTION C. PERFORMANCE OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

	ALTERNATE ASSESSMENT ON GRADE LEVEL STANDARDS (9B)										
GRADE LEVEL	TEST NAME	Achievement Level ³	Achievement Level	9B ROW TOTAL⁴							
3											
4											
5											
6											
7											
8											
HIGH SCHOOL (SPECIFY GRADE:											

OWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:	
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³ Include all students whose score on the alternate assessment on grade level standards was in the lowest achievement level plus all students who received a score but changes to the assessment invalidated their score.

⁴ The total number of students reported by achievement level in 9B is to equal the number reported in column 5A minus that portion of 5D that includes students whose assessment scored on grade level standards was invalid.

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION C. PERFORMANCE OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

	ALTERNATE ASSESSMENT SCORED AGAINST ALTERNATE STANDARDS (9C)										
		F	А	M,	Е						9C
GRADE LEVEL	TEST NAME	Achievement Level ⁵	Achievement Level	ROW TOTAL ⁶							
3	AIMS-A	249	237	220	1						707
4	AIMS-A	208	187	231	3						629
5	AIMS-A	219	205	250	9						683
6	AIMS-A	189	229	278	9						705
7	AIMS-A	187	294	291	18						790
8	AIMS-A	185	243	297	36						761
HIGH SCHOOL (SPECIFY GRADE: 10)	AIMS-A	169	228	338	37						772

LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:	M
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⁵ Include all students whose assessment counted in the lowest achievement level because of the NCLB cap plus all students who received a score but changes to the assessment invalidated their score.

⁶ The total number of students reported by achievement level in 9C is to equal the number reported in column 4 plus the number reported in column 5B minus the number reported in columns 4B and that portion of 5D that includes students whose alternate assessment scored on alternate standards was invalid.

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION C. PERFORMANCE OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)*

GRADE LEVEL	TOTAL FOR COLUMN 9A (ON PAGE 6)	TOTAL FOR COLUMN 9B (ON PAGE 7)	TOTAL FOR COLUMN 9C (ON PAGE 8)	NO VALID SCORE ⁷ (10)	TOTAL ⁸ (11)
3	10239		707	223	11169
4	10676		629	210	11515
5	10737		683	226	11646
6	9993		705	230	10928
7	9068		790	313	10171
8	8959		761	357	10077
HIGH SCHOOL (SPECIFY GRADE:10)	7247		772	503	8522

⁷ The number of students reported in column 10 is to equal the number reported in column 3C plus column 4B plus column 5D plus column 6 plus column 7 plus column 8.

⁸ The number of students reported in column 11, the row total, should equal the number of students with IEPs reported in Section A. If the number of students is not the same, provide and explanation.

Part I	B - SPP	/ APR A	Attachment 1	1

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION D. ENROLLMENT DATA FOR THE READING ASSESSMENT¹

GRADE LEVEL	STUDENTS WITH IEPs (1)	ALL STUDENTS (2)
3	11170	80805
4	11520	81152
5	11614	80832
6	10931	80791
7	10185	80581
8	10080	80581
HIGH SCHOOL (SPECIFY GRADE:10)	8670	75820

¹At a date as close as possible to the testing date.

Part B	- SPP	/ APR	Attack	ıment 1

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION E. PARTICIPATION OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT

	STUDENTS WITH DISABILITIES WHO TOOK REGULAR ASSESSMENT ON GRADE LEVEL ACHIEVEMENT STANDARDS			
GRADE LEVEL	TOTAL (3)	SUBSET WHO TOOK THE ASSESSMENT WITH ACCOMODATIONS (3A)	SUBSET WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR SCORE ¹ (3B)	SUBSET WHOSE ASSESSMENT RESULTS WERE INVALID ² (3C)
3	10330	4995	1278	75
4	10778	5939	1391	93
5	10806	6265	1348	63
6	10100	5944	1171	96
7	9202	5310	1190	129
8	9083	5276	1066	122
HIGH SCHOOL (SPECIFY GRADE:10)	7662	4852	657	257

¹ Changes to the assessment that invalidate a score are changes in testing materials or procedures that enable a student to participate in the assessment, but result in a score that is not deemed by the State to be comparable to scores received by students without these changes. In some States these changes are called modifications or nonstandard administrations.

² Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION E. PARTICIPATION OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT

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	STUDENTS WITH DISABILITIES WHO TOOK OUT OF GRADE LEVEL ASSESSMENT			
GRADE LEVEL	TOTAL (4)	SUBSET WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR SCORE ¹ (4A)	SUBSET WHOSE ASSESSMENT RESULTS WERE INVALID ² (4B)	
3				
4				
5				
6				
7				
8				
HIGH SCHOOL (SPECIFY GRADE:)				

¹ Changes to the assessment that invalidate a score are changes in testing materials or procedures that enable a student to participate in the assessment, but result in a score that is not deemed by the State to be comparable to scores received by students without these changes. In some States these changes are called modifications or nonstandard administrations.

² Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION E. PARTICIPATION OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

	STUDENTS WITH DISABILITIES WHO TOOK ALTERNATE ASSESSMENT				
GRADE LEVEL	TOTAL (5)	SUBSET WHOSE ALTERNATE WAS SCORED AGAINST GRADE LEVEL STANDARDS (5A)	SUBSET WHOSE ALTERNATE WAS SCORED AGAINST ALTERNATE ACHIEVEMENT STANDARDS (5B)	SUBSET COUNTED AT THE LOWEST ACHIEVEMENT LEVEL BECAUSE OF THE NCLB CAP ³ (5C)	SUBSET WHOSE ASSESSMENT RESULTS WERE INVALID ⁴ (5D)
3	707	NA	707	0	0
4	629	NA	629	0	0
5	683	NA	683	0	0
6	705	NA	705	0	
7	790	NA	790	0	0
8	761	NA	761	0	0
HIGH SCHOOL (SPECIFY GRADE:10)	772	NA	772	0	0

³ NCLB cap is the limit on the percent of students whose scores can be held to alternate achievement standards in AYP calculations.

⁴ Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

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SECTION E. PARTICIPATION OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

	STUDENTS WHO DID NOT TAKE ANY ASSESSMENT		
GRADE LEVEL	PARENTAL EXEMPTIONS (6)	ABSENT (7)	EXEMPT FOR OTHER REASONS⁵ (8)
3		133	
4		113	
5		125	
6		126	
7		194	
8		236	
HIGH SCHOOL (SPECIFY GRADE:10)		237	

⁵ Provide list of other reasons for exemption with the number of students exempted by each grade and reason for exemption.

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION F. PERFORMANCE OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT

	REGULAR ASSESSMENT ON GRADE LEVEL (9A)										
		1	2	3	4						9A
GRADE LEVEL	TEST NAME	Achievement Level ¹	Achievement Level	ROW TOTAL ²							
3	AIMS	4003	2902	2913	437						10255
4	AIMS	4713	2993	2691	288						10685
5	AIMS	4522	3401	2606	214						10743
6	AIMS	4499	3271	2161	73						10004
7	AIMS	4111	3205	1682	75						9073
8	AIMS	4334	3001	1587	39						8961
HIGH SCHOOL (SPECIFY GRADE: 10)	AIMS	2264	3358	1756	27						7405

LOWEST ACHIEVEMENT LEVEL	CONSIDERED PROFICIENT:	3

¹ Include all students whose regular assessment score was in the lowest achievement level plus all students who received a score but changes to the assessment invalidated their score (column 3B).

² The total number of students reported by achievement level in 9A is to equal the number reported in column 3 minus the number reported in columns 3C.

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SECTION F. PERFORMANCE OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

	ALTERNATE ASSESSMENT ON GRADE LEVEL STANDARDS (9B)										
GRADE LEVEL	TEST NAME	Achievement Level ³	Achievement Level	9B ROW TOTAL⁴							
3											
4											
5											
6											
7											
8											
HIGH SCHOOL (SPECIFY GRADE:											

LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:	
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³ Include all students whose score on the alternate assessment on grade level standards was in the lowest achievement level plus all students who received a score but changes to the assessment invalidated their score.

⁴ The total number of students reported by achievement level in 9B is to equal the number reported in column 5A minus that portion of 5D that includes students whose assessment scored on grade level standards was invalid.

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION F. PERFORMANCE OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

	ALTERNATE ASSESSMENT SCORED AGAINST ALTERNATE STANDARDS (9C)										
		F	А	М	Е						9C
GRADE LEVEL	TEST NAME	Achievement Level ⁵	Achievement Level	ROW TOTAL ⁶							
3	AIMSA	174	197	314	22						707
4	AIMSA	149	158	297	25						629
5	AIMSA	146	180	326	31						683
6	AIMSA	159	189	319	38						705
7	AIMSA	176	264	315	35						790
8	AIMSA	151	256	309	45						761
HIGH SCHOOL (SPECIFY GRADE: 10)	AIMSA	134	230	368	40						772

OWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:	M

⁵ Include all students whose assessment counted in the lowest achievement level because of the NCLB cap plus all students who received a score but changes to the assessment invalidated their score.

⁶ The total number of students reported by achievement level in 9C is to equal the number reported in column 4 plus the number reported in column 5B minus the number reported in columns 4B and that portion of 5D that includes students whose alternate assessment scored on alternate standards was invalid.

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SECTION F. PERFORMANCE OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

GRADE LEVEL	TOTAL FOR COLUMN 9A (ON PAGE 15)	TOTAL FOR COLUMN 9B (ON PAGE 16)	TOTAL FOR COLUMN 9C (ON PAGE 17)	NO VALID SCORE ⁷ (10)	TOTAL ⁸ (11)
3	10255		707	208	11170
4	10685		629	206	11520
5	10743		683	188	11614
6	10004		705	222	10931
7	9073		790	322	10185
8	8961		761	358	10080
HIGH SCHOOL (SPECIFY GRADE:10)	7405		772	493	8670

⁷ The number of students reported in column 10 is to equal the number reported in column 3C plus column 4B plus column 5D plus column 6 plus column 7 plus column 8.

⁸ The number of students reported in column 11, the row total, should equal the number of students with IEPs reported in Section A. If the number of students is not the same, provide and explanation.

Attachment 2: Dispute Resolution Data

SECTION A: Signed, written complaints	
(1) Signed, written complaints total	170
(1.1) Complaints with reports issued	161
(a) Reports with findings	105
(b) Reports within timeline	133
(c) Reports within extended timelines	27
(1.2) Complaints withdrawn or dismissed	9
(1.3) Complaints pending	0
(a) Complaint pending a due process hearing	0

SECTION B: Mediation requests	
(2) Mediation requests total	40
(2.1) Mediations	
(a) Mediations related to due process	11
(i) Mediation agreements	9
(b) Mediations not related to due process	16
(i) Mediation agreements	15
(2.2) Mediations not held (including pending)	13

SECTION C: Hearing requests	
(3) Hearing requests total	46
(3.1) Resolution sessions	19
(a) Settlement agreements	11
(3.2) Hearings (fully adjudicated)	1
(a) Decisions within timeline	0
(b) Decisions within extended timeline	1
(3.3) Resolved without a hearing	45

SECTION D: Expedited hearing requests (related to disciplinary decision)	
(4) Expedited hearing requests total	0
(4.1) Resolution sessions	0
(a) Settlement agreements	0
(4.2) Expedited hearings (fully adjudicated)	0
(a) Change of placement ordered	0

Attachment 3: List of Acronyms

APBSI	Arizona Positive Behavior Support Initiative
ADE	Arizona Department of Education
AIMS	Arizona's Instrument to Measure Standards
AIMS-A	Arizona's Instrument to Measure Standards – Alternate Assessment
ALJ	Administrative Law Judge
AT	Assistive Technology
AYP	Adequate Yearly Progress
AzEIP	Arizona Early Intervention Program for Infants and Toddlers
CACM	Corrective Action Compliance Monitor
CAP	Corrective Action Plan
CSPD	Comprehensive System of Personnel Development
СТЕ	Career and Technical Education
DEC	Division of Early Childhood
ECE	Early Childhood Education
ESS	Exceptional Student Services
FAPE	Free appropriate public education
FFY	Federal Fiscal Year
Group B	Arizona Funding Category for Significant Disabilities
IDEA	The Individuals with Disabilities Education Act
IDEAL	Integrated Data to Enhance Arizona's Learning
IEP	Individualized Education Program
IT	Information Technology
LRE	Least restrictive environment
MPRRC	Mountain Plains Regional Resource Center
NASDSE	National Association of State Directors of Special Education

NCCRESt APBSI participants	National Center for Culturally Responsive Education Systems
NCLB	No Child Left Behind Act
NCSEAM	National Center for Special Education Accountability and Monitoring
NIMAC	National Instructional Materials Accessibility Standard
NIMAS	National Instructional Materials Accessibility Center
ОАН	Office of Administrative Hearings
OSEP	Office of Special Education Programs/U.S. Department of Education
PEA	Public Education Agency
PINS	Parent Information Network Specialist
PSO	Post School Outcome
RTI	Response to Intervention
SAIS	Student Accountability Information System
SEAP	Special Education Advisory Panel
SFY	State Fiscal Year
SIG	State Improvement Grant
SSPD	School Safety and Prevention Division
STaR	System Training and Response
SUPPORT	System for Utilizing Peers in Program Organization, Review, and Technical Assistance
SWD	Students with Disabilities
TA	Technical Assistance
WRR	Weighted Risk Ratio

